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Patent
Attorney Docket No. 266/165

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventor: MADURA, Kiran

Serial No.: 09/918,036

Filed: July 30, 2001

For: Method and Compositions for Rapid
Purification of Proteasomes and
Methods of Use of Components Thereof

)
) **Group Art Unit: 1652**

)
) **Examiner: not yet assigned**

REQUEST FOR CORRECTION TO FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Request for Correction of the Filing Receipt is to correct the attorney docket number from "13257.00041" to "266/165." A copy of the Filing Receipt is also enclosed with corrections marked in red for your convenience.

We kindly request a corrected Filing Receipt with the updated attorney docket number. If you have any questions or comments, please do not hesitate to contact the undersigned.

LA-215734.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

November 5, 2001
Date of Deposit

Rachel Marquez

Name of Person Mailing Paper

Signature of Person Mailing Paper

The Commissioner is hereby authorized to charge any additional fees which may be required,
or credit any overpayment to Lyon & Lyon's Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: 11/5/2001

By: *Sandra S. Fujiyama*

Sandra S. Fujiyama
Reg. No. 46,713



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------------------------|----------|------------|------------|
| 09/918,036 | 07/30/2001 | 1652 | 475 | 43257-00041 266/165 | 20 | 18 | 6 |

28134
SAUL EWING LLP
CENTRE SQUARE WEST
1500 MARKET STREET, 38TH FLOOR
PHILADELPHIA, PA 19102-2186



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IP GROUP

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SAUL EWING REMICK
& SAUL LLP

CONFIRMATION NO. 1466

FILING RECEIPT



OC000000006513153

Date Mailed: 09/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kiran Madura, Bridgewater, NJ;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/100,802 06/19/1998
WHICH CLAIMS BENEFIT OF 60/050,171 06/19/1997

Foreign Applications

If Required, Foreign Filing License Granted 08/31/2001

Projected Publication Date: To Be Determined - pending completion of Sequence Disclosures

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Methods and compositions for rapid purification of proteasomes and methods of use of components thereof

Preliminary Class



Patent
Attorney Docket: 266/165

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Inventor: MADURA, Kiran

Serial No.: 09/918,036

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For: Method and Compositions for Rapid
Purification of Proteasomes and
Methods of Use of Components Thereof

Group Art Unit: 1652

Examiner: Not Yet Assigned

TRANSMITTAL OF MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES, which was mailed by the Patent Office on September 5, 2001, enclosed are:

- ☐ Declaration
- ☐ Power of Attorney: ☐ Separate or ☐ Combined with Declaration
- ☐ Assignment of the invention to _____ (together with PTO Form 1595)
- ☒ A copy of the Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures
- ☒ Submission of Sequence Listing with both computer readable and paper copies

LA-215740.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

November 5, 2001
Date of Deposit

Rachel Marquez

Name of Person Mailing Paper

Signature of Person Mailing Paper

☒ Request for Correction to Filing Receipt with marked copy of Filing Receipt

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

| EXTENSION (months) | FEE FOR SMALL ENTITY | FEE FOR OTHER THAN SMALL ENTITY |
|---------------------------------------|-------------------------|------------------------------------|
| <input type="checkbox"/> one month | \$55.00 | \$110.00 |
| <input type="checkbox"/> two months | \$200.00 | \$400.00 |
| <input type="checkbox"/> three months | \$460.00 | \$920.00 |
| <input type="checkbox"/> four months | \$720.00 | \$1,440.00 |
| <input type="checkbox"/> five months | \$980.00 | \$1,960.00 |

Fee

☒ If any extension fee is required, please consider this a petition therefor.

III. FILING FEES

☒ Applicant claims small entity status pursuant to 37 CFR 1.27.

| BASIC FILING FEE: | | | | | |
|--|-------|-----------------|---|--------------------------|---------------------------------|
| Total Claims | - | 20 | = | 0 | x \$18.00 \$0.00 |
| Independent Claims | - | 3 | = | 0 | x \$84.00 \$0.00 |
| Multiple Dependent Claims | \$280 | (if applicable) | | <input type="checkbox"/> | \$0.00 |
| Surcharge 37 CFR § 1.16(e) | \$130 | (if applicable) | | <input type="checkbox"/> | \$0.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | | \$0.00 |
| Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. | | | | | <input type="checkbox"/> \$0.00 |
| Extension of Time (from above) | | | | | \$0.00 |
| Assignment -- \$40 (if applicable) | | | | | <input type="checkbox"/> \$0.00 |
| TOTAL FEES SUBMITTED HEREWITH | | | | | \$0.00 |

IV. METHOD OF PAYMENT OF FEES:

- ☐ A check in the amount of _____ is enclosed to cover the above fee(s).
- ☐ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of _____.

☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: 11/5/01

By: *Sandra S. Fujiyama*
Sandra S. Fujiyama
Reg. No. 46,713



22249

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COMMISSIONER FOR PATENTS
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
|--------------------|---------------------|-----------------------|------------------------|

09/918,036

07/30/2001

Kiran Madura

~~13257-00041~~

266/165

CONFIRMATION NO. 1466

28134

SAUL EWING LLP

CENTRE SQUARE WEST

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PHILADELPHIA, PA 19102-2186



FORMALITIES LETTER



OC000000006513154

Date Mailed: 09/05/2001

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

BS

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE